

# Australian Financial Services Licence

PRICE FINANCIAL INTELLIGENCE PTY LTD

ABN: 28 127 858 477

Licence No: 402367

was licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001. The conditions of the licence are hereby varied from the date hereunder. The licensee shall continue to be licensed as an Australian Financial Services Licensee subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 2 August 2013

## Authorisation

1. This licence authorises the licensee to carry on a financial services business to:
  - (a) provide financial product advice for the following classes of financial products:
    - (i) deposit and payment products limited to:
      - (A) basic deposit products;
      - (B) deposit products other than basic deposit products;
    - (ii) debentures, stocks or bonds issued or proposed to be issued by a government;
    - (iii) life products including:
      - (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; and
      - (B) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
    - (iv) interests in managed investment schemes including:
      - (A) investor directed portfolio services;
    - (v) interests in managed investment schemes limited to:
      - (B) MDA services;
    - (vi) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
    - (vii) securities;
    - (viii) standard margin lending facility;
    - (ix) superannuation; and
    - (x) financial products limited to:
      - (A) miscellaneous financial investment products limited to MDA services; and
  - (b) deal in a financial product by:
    - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
      - (A) interests in managed investment schemes limited to:
        - (1) MDA services; and
      - (B) financial products limited to:
        - (1) miscellaneous financial investment products limited to MDA services; and
    - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
      - (A) deposit and payment products limited to:
        - (1) basic deposit products;
        - (2) deposit products other than basic deposit products;
      - (B) debentures, stocks or bonds issued or proposed to be issued by a government;
      - (C) life products including:
        - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by



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one or more of its statutory funds; and

- (2) life risk insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;

(D) interests in managed investment schemes including:

- (1) investor directed portfolio services;

(E) interests in managed investment schemes limited to:

- (2) MDA services;

(F) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);

(G) securities;

(H) standard margin lending facility;

(I) superannuation; and

(J) financial products limited to:

- (1) miscellaneous financial investment products limited to MDA services;

to retail and wholesale clients.

